

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of : **MAIL STOP: PETITIONS**

Kiichi YAMASHITA et al. :

Patent No. 6,866,804 :

Issued March 15, 2005 :

TWO-PACK URETHANE FOAM COMPOSITION, AND TWO-PACK URETHANE FOAM  
COMPOSITION INJECTING APPARATUS AND METHOD

**PETITION TO WAIVE 37 CFR 3.81(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Petitioner hereby requests that 37 CFR 3.81(a) be waved to permit the name of both Assignees to be provided after issuance of the patent. The failure to include both Assignees' names, Sunstar Suisse SA and Sunstar Giken Kabushiki Kaisha, on the PTOL-85(b) was inadvertent.

Provided herewith are copies of the Notice of Recordations of two Assignment documents dated March 9, 2005 and October 21, 1999.

Also provided herewith is the fee of \$130.00 as required by 37 CFR 1.17(h).

Respectfully submitted,

Kiichi YAMASHITA et al.

By

Joseph M. Gorski  
Registration No. 46,500  
Attorney for Patentees

06/15/2005 SZENDIE1 00000068 6866804

01 FC:1464

130.00 DP

JMG/edg  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
June 14, 2005



09/986,675

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DAC  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the patent of

: MAIL STOP: PETITIONS

Kiichi YAMASHITA et al.

:

Patent No. 6,866,804

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Issued March 15, 2005

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TWO-PACK URETHANE FOAM COMPOSITION, AND TWO-PACK URETHANE FOAM  
COMPOSITION INJECTING APPARATUS AND METHOD

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing  
the following attached papers:

Petition ..... \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of  
Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment  
associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of  
deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Kiichi YAMASHITA et al.

By

Joseph M. Gorski  
Registration No. 46,500  
Attorney for Patentees

JMG/edg  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
June 14, 2005

[Check No. 68749]

2001\_1664



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 09, 2005

PTAS



\*102830518A\*

WENDEROTH, LIND & PONACK LLP  
ATTN: JOSEPH M. GORSKI, ESQ.  
2033 K STREET, N.W., SUITE 800  
WASHINGTON, DC 20006-1021

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/01/2004

REEL/FRAME: 015745/0858  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

UNI-SUNSTAR B.V.

DOC DATE: 08/11/2004

ASSIGNEE:

SUNSTAR SUISSE SA  
ROUTE DE LA PIERRE, 22  
1024 ECUBLENS, SWITZERLAND

SERIAL NUMBER: 09986675

FILING DATE: 11/09/2001

PATENT NUMBER: 6866804

ISSUE DATE: 03/15/2005

TITLE: TWO-PACK URETHANE FOAM COMPOSITION, AND TWO-PACK URETHANE FOAM COMPOSITION INJECTING APPARATUS AND METHOD

RECEIVED  
MAR 14 2005  
WENDEROTH, LIND & PONACK

015745/0858 PAGE 2

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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09-07-2004



102830518

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

9.1.04

To the Honorable Assistant Secretary and Commissioner of Patents and Trademarks: Please record the attached original document thereof.

1. Name of conveying party(ies):

Uni-Sunstar B.V.

Additional name(s) of conveying party(ies) attached? NO

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: August 11, 2004

2. Name and address of receiving party(ies):

Name: Sunstar Suisse SAStreet Address: Route de la Pierre, 22, 1024 Ecublens, Switzerland

City: Country:

Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/986,675, filed November 9, 2001B. Patent No.(s)  
issuedAdditional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WENDEROTH, LIND & PONACK, L.L.P.  
Attn: Joseph M. Gorski, Esq.Street Address: 2033 K Street, N.W., Suite 800City: Washington, State: DC ZIP: 20006-10216. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41). . . . . \$ 40.00☒ Enclosed (Check No. 03849)  
Authorized to be charged to deposit account8. Deposit account number: 23-0975

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph M. Gorski, Reg. No. 46,500  
Name of Person Signing

  
Signature
September 1, 2004  
DateTotal number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information:

Commissioner and Assistant Secretary of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

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 FEES FOR THIS PAPER TO DEPOSIT  
 ACCOUNT NO. 23-0975

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

09/03/2004 DBYRHE 00000003 09986675

01 FC:0021

40.00 DP

## ASSIGNMENT

WHEREAS, by virtue of Assignment recorded on July 22, 1999 in the United States Patent and Trademark Office on Reel 010176 - Frame 0572, UNI-SUNSTAR B.V., of Strawinskylaan 3019, Atrium 1HG, 1077 ZX Amsterdam, Netherlands (hereinafter referred to as "Assignor") is one of the owners of United States Patent Application No. 09/986,675 for an invention entitled:

TWO-PACK URETHANE FOAM COMPOSITION, AND TWO-PACK  
URETHANE FOAM COMPOSITION INJECTING APPARATUS AND METHOD

FILED on November 9, 2001.

WHEREAS, Sunstar Suisse SA, a Swiss body corporate of Victoria House, Route de la Pierre, 22, 1024 Ecublens, Switzerland (hereinafter referred to as "Assignee"), is desirous of acquiring from the said Assignor his right, title and interest in, and to the said United States patent application.

NOW THEREFORE, in consideration of the sum of ten dollars (US\$10.00) paid by the Assignee to the Assignor, the receipt of which is hereby acknowledged, the Assignor hereby sells, transfers and conveys to the Assignee of all his right, title and interest in, to and under the said patent application to the Assignee.

Dated this 11th day of August , 2004.

UNI-SUNSTAR B.V.

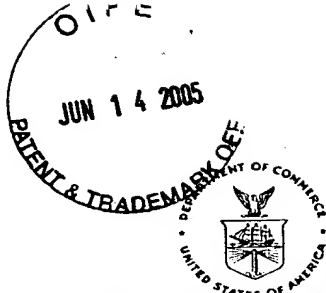


Yoshihito Makiyama  
Vice-President

Witness:

C. Tomita  
Chiho Tomita

Kadushi Ebisutani  
Kadushi Ebisutani

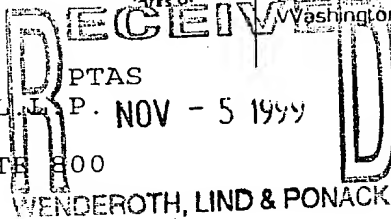


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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 21, 1999

WENDEROTH, LIND & PONACK, L.L.P.  
ATTN: MR. NILS E. PEDERSEN  
2033 "K" STREET, N.W., SUITE 800  
WASHINGTON, D.C. 20006



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RECORDATION DATE: 07/22/1999

REEL/FRAME: 010176/0572  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
YAMASHITA, KIICHI

DOC DATE: 07/15/1999

ASSIGNOR:  
WAKAMORI, TATSUYA

DOC DATE: 07/15/1999

ASSIGNOR:  
MORI, MASAHIITO

DOC DATE: 07/15/1999

ASSIGNOR:  
MIYAMOTO, MUTSUHISA

DOC DATE: 07/15/1999

ASSIGNEE:  
SUNSTAR GIKEN KABUSHIKI KAISHA  
7-1, AKETA-CHO, TAKATSUKI-SHI  
OSAKA 569-0806, JAPAN

ASSIGNEE:  
UNI-SUNSTAR B.V.  
STRAWINSKYLAAN 3019, ATRIUM 1HG  
1077 ZX AMSTERDAM, NETHERLANDS



010176/0572 PAGE 2

SERIAL NUMBER: 09355035  
PATENT NUMBER:

FILING DATE: 07/22/1999  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

A/D 081-40

M PTO-11.95 (modified)

08-24-1999

JUN 14 2005

09/355035

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101124838

SHEET

10 Rec'd PCT/PTO 22 JUL 1999

Shed original document(s) or copy(ies) thereof.

the Honorable Commissioner of Patents

Name of conveying party(ies):

Kiichi YAMASHITA  
Tatsuya WAKAMORI  
Masahito MORI  
Mutsuhisa MIYAMOTO

Name and address of receiving party(ies):

Name: SUNSTAR GIKEN KABUSHIKI KAISHAAddress: 7-1, Aketa-cho, Takatsuki-shi,  
Osaka 569-0806 Japan

AND

Name: UNI-SUNSTAR B.V.Address: Strawinskylaan 3019, Atrium 1HG,  
1077 ZX Amsterdam, NetherlandsAdditional name(s) of conveying party(ies) attached? No

Nature of conveyance:

☒ Assignment  
☐ Security Agreement  
☐ Other☐ Merger  
☐ Change of NameExecution Date: July 15, 1999Additional name(s) & address(es) attached? No

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 15, 1999

A. Patent Application No.(s)

B. Patent No.(s)

09 355035

Additional numbers attached?

Name and address of party to whom correspondence concerning document should be mailed:

Name: WENDEROTH, LIND & PONACK, L.L.P.,Internal Address: Attn: Mr. Nils E. PedersenStreet Address: 2033 "K" Street, N.W., Suite 800City: Washington, State: DC ZIP: 200066. Total number of applications and patents involved: 17. Total fee (37 C.F.R. § 3.41). . . . . \$ 40.00☒ Enclosed (Check No. 34244)  
☐ Authorized to be charged to deposit account8. Deposit account number: 23-0975

(Attach duplicate copy of this page if paying by deposit account)

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1999 WCLAYBRO 00000036 09355035

Statement and signature. 40.00 DP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles R. Watts, Reg. No. 33,142  
for Nils E. Pedersen, Reg. No. 33,145

Name of Person Signing

Signature

July 22, 1999

Date

Total number of pages including cover sheet, attachments, and document: 3

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FEE FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975.

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

ATTACHMENT "F"

## ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

**Insert Name(s)  
of Inventor(s)** Kiichi YAMASHITA,  
Tatsuya WAKAMORI,  
Masahito MORI and  
Mutsuhisa MIYAMOTO

the undersigned hereby sell(s) and assign(s) to

**Insert Name(s)  
of Assignee(s)** SUNSTAR GIKEN KABUSHIKI KAISHA and UNI-SUNSTAR B.V.  
7-1, Aketa-cho, Takatsuki-shi, Osaka 569-0806 Japan and  
**Address** of Strawinskylaan 3019, Atrium 1HG, 1077 ZX Amsterdam, Netherlands

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

**Title of  
Invention** TWO-PACK URETHANE FOAM COMPOSITION, AND TWO-PACK URETHANE  
FOAM COMPOSITION INJECTING APPARATUS AND METHOD

**Date of Signing  
of Application** for which an application for patent in the United States has been executed by the undersigned on  
July 15, 1999

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

1000 JUL 22 1999  
1000 JUL 22 1999  
The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, DC 20006, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date July 15, 1999, Name of Inventor Kiichi Yamashita  
Date July 15, 1999, Name of Inventor T. Wakamori  
Date July 15, 1999, Name of Inventor Masahito Mori  
Date July 15, 1999, Name of Inventor Mutsuhisa Miyamoto  
Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_  
Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness Satoshi Maruyama  
Witness Kunihiko Iwano

### ACKNOWLEDGEMENT

\_\_\_\_\_  
\_\_\_\_\_) ss

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me  
personally came the above-named \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

to me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

SEAL

\_\_\_\_\_  
Official Signature

\_\_\_\_\_  
Official Title

The above application may be more particularly identified as follows:

U.S. Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_  
Applicant Reference Number \_\_\_\_\_ Atty Docket No. \_\_\_\_\_  
Title of Invention \_\_\_\_\_  
\_\_\_\_\_